

South Carolina PEBA
Pharmacy 834 Companion Document
Benefit Enrollment and Maintenance

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Purpose of This Document

This companion guide has been written to assist those who will be implementing the ASC X12N 834 Benefit Enrollment and Maintenance Transaction Set for use with South Carolina Public Employee Benefit Authority (PEBA). By addressing trading partner-specific processing considerations, our hope is that this companion document will simplify your implementation as much as possible.

Please note that this guide is intended only as a supplement to and NOT a replacement for the ASC X12N 834 Benefit Enrollment and Maintenance Implementation Guide as mandated under HIPAA. The implementation specifications for the ASC X12N 834 Standard may be obtained from the Washington Publishing Company, PMB 161, 5284 Randolph Road, Rockville, MD, 20852-2116; telephone 301-949-9740; and FAX: 301949-9742. They are also available through the Washington Publishing Company on the Internet at <http://www.wpc-edi.com>.

Overall Data Architecture

In conventional data processing terminology, each 834 is equivalent to an enrollment "file", beginning with an ST segment and ending with an SE segment. Within this "file", each occurrence of the INS loop is equivalent to either one subscriber or one dependent "record". The data elements passed in these "records" are roughly equivalent to "fields".

ISA: Interchange Control Header Segment **GS:**

Functional Group Header Segment

ST: Transaction Set Header ← beginning of 834 transaction

BGN: Beginning Segment

INS: Member Level Detail (max. 10.000 iterations per 834)

HD: Health Coverage (max. 99 iterations per INS) **HD:**
Health Coverage

INS: Member Level Detail **HD:**
Health Coverage

SE: Transaction Set Trailer ← end of 834 transaction

GE: Functional Group Trailer Segment

IEA: Interchange Control Trailer Segment

Definitions

Users of this guide are reminded that the *State Plan of Benefits* is the definitive (and prevailing) source of definitions relating to eligibility for Pharmacy benefits.

Dependent: A dependent is an individual who is eligible for coverage because of his or her association with a subscriber. Typically, a dependent is a member of the

subscriber's family and is specifically defined by PEBA as a spouse or a child of a covered subscriber.

Enrollment: As defined in the Final Rule for "Standards for Electronic transactions" (§162.1501), the enrollment and disenrollment in a health plan transaction is the transmission of subscriber enrollment information to a health plan to establish or terminate insurance coverage.

Member: When used in this Companion Document, the term "member" can refer to either a subscriber or a subscriber's dependent. A **member** is referred to as a **covered person** in the *State Dental Plan of Benefits*. Each looping of the INS segment includes information on one member.

Payer/Insurer: The payer is the party that pays claims and/or administers the insurance coverage, benefit, or product.

Providers: Health care providers are individuals and organizations that provide health care services, which include Dental services. Health care providers can include physicians, dentists, hospitals, clinics, pharmacies, and long-term care facilities.

Sponsor: A sponsor is the party that ultimately pays for the coverage, benefit, or product. A sponsor can be an employer, union, government agency, association, or insurance agency. **Sponsors** are referred to as a **planholder** in the *State Plan of Benefits*.

Subscriber: The subscriber is an individual eligible for coverage because of his or her association with a sponsor. Examples of subscribers include the following: employees; retirees; surviving spouses / dependent children; or COBRA enrollees.

Third Party Administrator (TPA): A sponsor may elect to contract with a Third Party Administrator (TPA) or other vendor to handle collecting insured member data if the sponsor chooses not to perform this function.

Linking a Dependent to a Subscriber

Subscribers and dependents are sent as separate occurrences of Loop ID-2000. The initial enrollment for the subscriber must be sent before sending the initial enrollment for any of the subscriber's dependents. The enrollment of a dependent may follow the subscriber's enrollment in the same transmission, or it may be sent separately in a later transmission.

To allow linking between subscribers and dependents, use the code "0F," Subscriber Number, in the REF segment, Loop ID-2000, position 020. The subscriber's unique identifier is sent in this segment in both the subscriber's and the dependent's Loop ID2000. The member's SSN is sent and identified as such in NM108, Loop ID-2000, position 030. This applies to both subscribers and dependents. If the SSN is used for

linking, then the subscriber's SSN is sent in both locations on the subscriber's Loop ID2000.

PEBA generates (and is responsible for maintaining) a non-SSN based identifier that is communicated in a REF (Loop ID-2000) segment (REF02 = '23'). This identifier is stored only on subscriber records and is to be assumed across all dependents of the subscriber. While PEBA is currently supporting two methodologies to identify a subscriber (SSN and PEBA generated identifier), it is possible that PEBA will transition to relying upon only the PEBA generated identifier and vendors are advised to take this into consideration when developing eligibility maintenance logic.

Termination

Subscriber Level Termination

If the termination date is passed at the INS level for a subscriber (Loop 2000,_DTP segment, position 040), then all coverage for that subscriber and for all dependents linked to that subscriber will be terminated, effective on that date. If the termination date is passed at the INS level for a dependent (Loop 2000,_DTP segment, position 040), then all coverage for that dependent will be terminated, effective on that date. The coverage for the subscriber and any other dependents will not be affected. Terminating all insurance products for a subscriber at the HD level is different, in that there may be dependents that continue to be covered, i.e. - dependent only plans. A subscriber with all insurance product coverages terminated will be terminated as a member only if there are no dependents linked to that subscriber. In the case of a transfer from one coverage to another, it is necessary to terminate the old coverage and then add the new coverage. An add to a new coverage must never be assumed to result in the automatic termination of the prior coverage.

Member Level Termination

If the termination date is passed at the HD level for any member (loop 2300, DTP segment, position 270), then coverage for that specific insurance product for that member will be terminated, effective on that date. Coverage for other insurance products for that member will not be affected nor will coverage for other members linked to the same subscriber. Termination dates are not to be sent at both the HD and the INS levels for a particular occurrence of loop 2000. Terminating all covered insurance products for a dependent at the HD level is the equivalent of terminating that dependent at the INS level.

Updates Versus Full File Audits

The 834 transaction can be used to provide either updates to the enrollment database or full file audits. PEBA supports both versions of the 834.

An update is either an “add”, “terminate” or “change” request. The transaction only contains information about the changed members. This is identified in BGN08 by a code value of '2', Change (Update).

A full file audit lists all current members, whether involved in a change or not. This facilitates keeping the sponsor's and payer's systems in sync. This is not intended to contain a history of all previous enrollments. This type of transaction is identified by a BGN08 code value of '4', Verify. The most efficient and preferred method for regular maintenance of enrollment files is to use 'Change (Update)' transactions. Periodic audit files can be used to verify synchronization. When required, full audit files can be used to report all enrollees. Because of the size of the PEBA insured population, please be advised that full audit file processing requires considerable system resources and is to be used only on a limited basis.

Product Identifiers

The 834 allows three locations for insurance product identifiers, such as policy numbers and group numbers.

- If a single policy number applies to an entire transaction set (i.e., all members have the same policy number), then the product identifier should be passed in the situational header REF segment ("Master Policy Number"). This segment should never be passed if a policy number does not apply to the entire transaction.
- If the policy or group number applies to all coverage data for a given member, then the product identifier should be passed in the situational REF segment at the insured individual (INS) level ("Member Policy Number"). This method should be used when 1) not all members have the same policy number, AND 2) each member has one and only one policy number, regardless of health coverage. Most identifiers should be communicated at the insured level.
- If a member can have more than one policy number due to multiple coverage types, then the product identifier should be passed in the situational REF segment at the health insurance product (HD) level ("Health Coverage Policy Number"). This segment should be used to identify a policy or group number for a particular insurance product if this number has not already been passed at the header or INS levels.

NOTE: If PEBA is conveying coordination of benefit information in Loop 2320, the policy number of the coordinating benefits is sent, if known, via COB02.

Delimiters

We use the following delimiters:

| CHARACTER | NAME | DELIMITER |
|------------------|-------------|------------------------|
| * | Asterisk | Data Element Separator |
| : | Colon | Sub-element Separator |
| ~ | Tilde | Segment Terminator |

Date Formats

All 834 dates are 8-character dates in the format CCYYMMDD. The only date data element that is in format YYMMDD is the Interchange Date data element in the ISA segment.

Segment: **ISA** Interchange Control Header

Position: 005
Loop:
Level: Heading
Usage: Optional
Max Use: 1
Purpose: To specify information in response to a codified questionnaire document
Syntax Notes: **Semantic Notes:**
Comments:

Notes: The ISA is a fixed record length segment and all positions within each of the data elements must be filled. The first element separator defines the element separator to be used through the entire interchange. The segment terminator used after the ISA defines the segment terminator to be used throughout the entire interchange. Spaces in the example are represented by "." for clarity.

ISA*00*.....*01*SECRET...*ZZ*SUBMITTERS.ID..*ZZ*RECEIVERS.ID...*93060
 2*1253*U*00401*000000905*1*T*::~~

Data Element Summary

| Ref. | Data | Element Name | Attributes |
|------|-------|---|-------------------|
| M | ISA01 | I01 Authorization Information Qualifier | M ID 2/2 |
| | | Code to identify the type of information in the Authorization Information | |
| | | 00 No Authorization Information Present (No Meaningful Information in I02) | |
| | | ADVISED UNLESS SECURITY REQUIREMENTS MANDATE USE OF ADDITIONAL IDENTIFICATION INFORMATION. | |
| | | 03 Additional Data Identification | |
| M | ISA02 | I02 Authorization Information | M AN 10/10 |
| | | Information used for additional identification or authorization of the interchange sender or the data in the interchange; the type of information is set by the Authorization Information Qualifier (I01) | |
| M | ISA03 | I03 Security Information Qualifier | M ID 2/2 |
| | | Code to identify the type of information in the Security Information | |
| | | 00 No Security Information Present (No Meaningful Information in I04) | |
| | | ADVISED UNLESS SECURITY REQUIREMENTS MANDATE USE OF PASSWORD DATA. | |
| | | 01 Password | |
| M | ISA04 | I04 Security Information | M AN 10/10 |
| | | This is used for identifying the security information about the interchange sender or the data in the interchange; the type of information is set by the Security Information Qualifier (I03) | |
| M | ISA05 | I05 Interchange ID Qualifier | M ID 2/2 |
| | | Qualifier to designate the system/method of code structure used to designate the sender or receiver ID element being qualified | |
| | | 01 Duns (Dun & Bradstreet) | |
| | | 14 Duns Plus Suffix | |
| | | 20 Health Industry Number (HIN) | |
| 27 | | Carrier Identification Number as assigned by Centers for | |

| | | | | |
|----------|--------------|------------|---|------------------------------------|
| | | | | Medicare & Medicaid Services (CMS) |
| 28 | | | Fiscal Intermediary Identification Number as assigned by Centers for Medicare & Medicaid Services (CMS) | |
| 29 | | | Medicare Provider and Supplier Identification Number (National Provider Identifier or NPI effective after May 23, 2007) as assigned by Centers for Medicare & Medicaid Services (CMS) | |
| 30 | | | U.S. Federal Tax Identification Number | |
| | | 33 | National Association of Insurance Commissioners Company Code (NAIC) | |
| | | ZZ | Mutually Defined | |
| | | | Recommended | |
| M | ISA06 | I06 | Interchange Sender ID | M AN 15/15 |
| | | | Identification code published by the sender for other parties to use as the receiver ID to route data to them; the sender always codes this value in the sender ID element | |
| | | | TO BE ESTABLISHED BY PEBA AND THE PHARMACY ASO VENDOR | |
| | | | Interchange ID Qualifier | M ID 2/2 |
| | | | Qualifier to designate the system/method of code structure used to designate the sender or receiver ID element being qualified | |
| | | | This ID qualifies the Receiver in ISA08. | |
| | | 01 | Duns (Dun & Bradstreet) | |
| | | 14 | Duns Plus Suffix | |
| | | 20 | Health Industry Number (HIN) | |
| 27 | | | Carrier Identification Number as assigned by Centers for Medicare & Medicaid Services (CMS) | |
| 28 | | | Fiscal Intermediary Identification Number as assigned by Centers for Medicare & Medicaid Services (CMS) | |
| 29 | | | Medicare Provider and Supplier Identification Number (National Provider Identifier or NPI effective after May 23, 2007) as assigned by Centers for Medicare & Medicaid Services (CMS) | |
| 30 | | | U.S. Federal Tax Identification Number | |
| | | 33 | National Association of Insurance Commissioners | |
| | | | Company Code (NAIC) | |
| | | ZZ | Mutually Defined | |
| | | | Recommended | |
| M | ISA08 | I07 | Interchange Receiver ID | M AN 15/15 |
| | | | Identification code published by the receiver of the data; When sending, it is used by the sender as their sending ID, thus other parties sending to them will use this as a receiving ID to route data to them | |
| | | | TO BE ESTABLISHED BY PEBA AND THE PHARMACY ASO VENDOR | |
| M | ISA09 | I08 | Interchange Date | M DT 6/6 |
| | | | Date of the interchange | |
| | | | The date format is YYMMDD. | |
| M | ISA10 | I09 | Interchange Time | M TM 4/4 |
| | | | Time of the interchange | |
| | | | The time format is HHMM. | |
| M | ISA11 | I10 | Interchange Control Standards Identifier | M ID 1/1 |
| | | | Code to identify the agency responsible for the control standard used by the | |

| | | | | |
|----------|--------------|------------|---|-------------------------|
| | | | message that is enclosed by the interchange header and trailer U U.S. EDI Community of ASC X12, TDCC, and UCS | |
| M | ISA12 | I11 | Interchange Control Version Number | M ID 5/5 |
| | | | This version number covers the interchange control segments 00401 Draft Standards for Trial Use Approved for Publication by ASC X12 Procedures Review Board through October 1997 | |
| M | ISA13 | I12 | Interchange Control Number | M N0 9/9 |
| | | | A control number assigned by the interchange sender The Interchange Control Number, ISA13, must be identical to the associated Interchange Trailer IEA02. | |
| M | ISA14 | I13 | Acknowledgment Requested | M ID 1/1 |
| | | | Code sent by the sender to request an interchange acknowledgment (TA1) interchange acknowledgment information. | See Section A.1.5.1 for |
| | | | 0 No Acknowledgment Requested | |
| | | | 1 Interchange Acknowledgment Requested | |
| M | ISA15 | I14 | Usage Indicator | M ID 1/1 |
| | | | Code to indicate whether data enclosed by this interchange envelope is test, production or information P Production Data T Test Data | |
| M | ISA16 | I15 | Component Element Separator | M AN 1/1 |
| | | | Type is not applicable; the component element separator is a delimiter and not a data element; this field provides the delimiter used to separate component data elements within a composite data structure; this value must be different than the data element separator and the segment terminator | |

Segment: **GS** Functional Group Header

Position: 007
Loop:
Level: Heading
Usage: Optional
Max Use: 1

Purpose: To indicate the beginning of a functional group and to provide control information **Syntax Notes:**

Semantic Notes: 1 GS04 is the group date.

2 GS05 is the group time.

3 The data interchange control number GS06 in this header must be identical to the same data element in the associated functional group trailer, GE02.

Comments: 1 A functional group of related transaction sets, within the scope of X12 standards, consists of a collection of similar transaction sets enclosed by a functional group header and a functional group trailer.

Notes: GS*BE*SENDER CODE*RECEIVER CODE*19940331*0802*1*X*004010X095~

Data Element Summary

| Ref. | Data | | | Attributes |
|---|----------------|-------------|--|------------|
| <u>Des.</u> | <u>Element</u> | <u>Name</u> | | |
| M | GS01 | 479 | Functional Identifier Code | M ID 2/2 |
| | | | Code identifying a group of application related transaction sets | |
| | | | BE Benefit Enrollment and Maintenance (834) | |
| M | GS02 | 142 | Application Sender's Code | M AN 2/15 |
| | | | Code identifying party sending transmission; codes agreed to by trading partners | |
| | | | Use this code to identify the unit sending the information. | |
| TO BE DETERMINED BY PEBA AND THE PHARMACY ASO VENDOR | | | | |
| M | GS03 | 124 | Application Receiver's Code | M AN 2/15 |
| | | | Code identifying party receiving transmission; codes agreed to by trading partners | |
| | | | Use this code to identify the unit receiving the information. | |
| TO BE DETERMINED BY PEBA AND THE PHARMACY ASO VENDOR | | | | |
| M | GS04 | 373 | Date | M DT 8/8 |
| | | | Date expressed as CCYYMMDD | |
| | | | Use this date for the functional group creation date. | |
| M | GS05 | 337 | Time | M TM 4/8 |
| | | | Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = minutes (00-59), S = integer seconds (00-59) and DD = decimal seconds; decimal seconds are | |
| | | | expressed as follows: D = tenths (0-9) and DD = hundredths (00-99) | |
| | | | Use this time for the creation time. The recommended format is HHMM. | |
| M | GS06 | 28 | Group Control Number | M N0 1/9 |
| | | | Assigned number originated and maintained by the sender | |
| M | GS07 | 455 | Responsible Agency Code | M ID 1/2 |
| | | | Code used in conjunction with Data Element 480 to identify the issuer of the standard | |

X Accredited Standards Committee X12

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Version 1.2

M GS08 480 Version / Release / Industry Identifier Code M AN 1/12 Code indicating the version, release, subrelease, and industry identifier of the

EDI standard being used, including the GS and GE segments; if code in DE455 in GS segment is X, then in DE 480 positions 1-3 are the version number; positions 4-6 are the release and subrelease, level of the version; and positions 7-12 are the industry or trade association identifiers (optionally assigned by user); if code in DE455 in GS segment is T, then other formats are allowed

When this draft is used to pilot the transaction set, this value is 004010X095A1

Draft Standards Approved for Publication by ASC X12 Procedures Review Board through October 1997, as published in the Benefit Enrollment and Maintenance Implementation Guide approved for publication by ASC X12N, May 2000 and amended October, 2002.

Segment: **ST** Transaction Set Header

Position: 010

Loop:

Level: Heading

Usage: Mandatory

Max Use: 1

Purpose: To indicate the start of a transaction set and to assign a control number

Syntax Notes:

Semantic Notes: 1 The transaction set identifier (ST01) is used by the translation routines of the interchange partners to select the appropriate transaction set definition (e.g., 810 selects the Invoice Transaction Set).

Comments:

Notes: Example: ST*834*0001~

Data Element Summary

| | Ref. | Data | Attributes |
|---|--------------------|--|-------------------|
| | <u>Des.</u> | <u>Element Name</u> | |
| M | ST01 | 143 Transaction Set Identifier Code Code uniquely identifying a Transaction Set 834 Benefit Enrollment and Maintenance | M ID 3/3 |
| M | ST02 | 329 Transaction Set Control Number Identifying control number that must be unique within the transaction set functional group assigned by the originator for a transaction set The transaction set control numbers in ST02 and SE02 must be identical. This unique number also aids in error resolution research. For example, start with the number 0001 and increment from there. This number must be unique within a specific group and interchange, but the number can repeat in other groups and interchanges. | M AN 4/9 |

Segment:

Position:

Loop: Level:

**BGN Beginning
 Segment**

020

Heading

Usage: Mandatory

Max Use: 1

Purpose: To indicate the beginning of a transaction set

Syntax Notes: 1 If BGN05 is present, then BGN04 is required.

Semantic Notes: 1 BGN02 is the transaction set reference number.

2 BGN03 is the transaction set date.

3 BGN04 is the transaction set time.

4 BGN05 is the transaction set time qualifier.

5 BGN06 is the transaction set reference number of a previously sent transaction affected by the current transaction.

Comments:

Notes: Example: BGN*00*11227*19970920*1200*ES***2~

Data Element Summary

| Ref. | Data | | | Attributes |
|-------------|----------------|-------------|---|------------|
| <u>Des.</u> | <u>Element</u> | <u>Name</u> | | |
| M | BGN01 | 353 | Transaction Set Purpose Code Code identifying purpose of transaction set | M ID 2/2 |
| | | | If the original transaction has already been processed, an incoming transaction using this code may be rejected by the receiver. The rejection will be identified to the sender by telephone or other direct contact. | |
| | | 00 | Original | |
| | | | The "00" indicates the first time the transaction is sent. | |
| | | 15 | Re-Submission | |
| | | | Send the "15" when the original transmission was incorrect, has yet to be processed by the receiver, and a new corrected transmission is being sent. This transmission can then be pended by the receiver's translator for further review. | |
| | | 22 | Information Copy | |
| | | | Send the "22" when the original transmission was lost or not processed, and the sender is passing another transmission that is the same as the original. | |
| M | BGN02 | 127 | Reference Identification Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier Use the transaction set reference number assigned by the sender's application to uniquely identify this occurrence of the transaction for future reference. | M AN 1/30 |

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| | | | | |
|-----------------|--------------|------------|--|------------------|
| M | BGN03 | 373 | Date Date expressed as CCYYMMDD Use this date to identify the date that the submitter created the file. | M DT 8/8 |
| M | BGN04 | 337 | Time Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = minutes (00-59), S = integer seconds (00-59) and DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99) Use the time to identify the time of day that the submitter created the file. This element is used as a time stamp to uniquely identify the transmission. | M TM 4/8 |
| | BGN05 | 623 | Time Code Code identifying the time. In accordance with International Standards Organization standard 8601, time can be specified by a + or - and an indication in hours in relation to Universal Time Coordinate (UTC) time; since + is a restricted character, + and - are substituted by P and M in the codes that follow Use the time code if the sender and receiver are not in the same time zone. Refer to 004010X095 Data Element Dictionary for acceptable code values. | O ID 2/2 |
| S | BGN06 | 127 | Reference identification Reference identification information as defined by a particular transaction set or as specified by the reference identification qualifier Industry: Transaction code set identifier code Semantic: BGN06 is the transaction set reference number of a previously sent transaction affected by the current transaction. IF BGN01 equals 15 or 22, then BGN06 is used to cross reference to the previously sent transaction. | O AN 1/30 |
| NOT USED | BGN07 | 640 | Transaction type code | O ID 2/2 |
| M | BGN08 | 306 | Action Code Code indicating type of action 2 Change (Update) Used to identify a transaction of additions, terminations and changes to the current enrollment. 4 Verify Used to identify a full enrollment transaction to verify that the sponsor's and payer's systems are synchronized. | M ID 1/2 |
| NOT USED | BGN09 | 786 | Security level code | O ID 2/2 |

Segment:

Position:
Loop: Level:

N1 Sponsor Name

070

1000A Mandatory

Heading

- Usage:** Mandatory
Max Use: 1
Purpose: To identify a party by type of organization, name, and code
Syntax Notes: 1 At least one of N102 or N103 is required.
 2 If either N103 or N104 is present, then the other is required.

Semantic Notes:

- Comments:** 1 This segment, used alone, provides the most efficient method of providing organizational identification. To obtain this efficiency the "ID Code" (N104) must provide a key to the table maintained by the transaction processing party.
 2 N105 and N106 further define the type of entity in N101.

Notes: Use this loop to identify the sponsor. See section 1.3 for the definition of sponsor.
 Example: N1*P5**FI*12356799~

Data Element Summary

| Ref. | Data | | Attributes |
|-------------|---------------------|---|------------|
| <u>Des.</u> | <u>Element Name</u> | | |
| M | N101 | 98 Entity Identifier Code | M ID 2/3 |
| | | Code identifying an organizational entity, a physical location, property or an individual | |
| | | P5 Plan Sponsor | |
| | N102 | 93 Name | X AN 1/60 |
| | | Free-form name | |
| | | This element may be used at the sender's discretion. | |

| SC PUBLIC EMPLOYEE BENEFIT AUTHORITY | | | |
|--|-------------|-----------|--|
| M | N103 | 66 | <p>Identification Code M ID ½</p> <p>Qualifier system/method of code structure used for Identification</p> <p>Code designating the Federal Taxpayer's Identification Number</p> <p>Code (67)</p> <p>FI The developers recommend that this code be used until the HIPAA standard identifier is implemented.</p> <p>ZZ Mutually Defined</p> <p>The Employer Identification Number (EIN) issued by the Internal Revenue Service (IRS)</p> <p>USED BY PEBA</p> |
| M | N104 | 67 | <p>Identification Code M AN 2/80</p> <p>Code identifying a party or other code</p> |
| TO BE ESTABLISHED BY PEBA AND THE PHARMACY ASO VENDOR | | | |

Segment:

Position:
Loop: Level:

N1 Payer

070
 1000B Mandatory
 Heading

Usage: Mandatory
Max Use: 1
Purpose: To identify a party by type of organization, name, and code
Syntax Notes: 1 At least one of N102 or N103 is required.
 2 If either N103 or N104 is present, then the other is required.

Semantic Notes:

Comments: 1 This segment, used alone, provides the most efficient method of providing organizational identification. To obtain this efficiency the "ID Code" (N104) must provide a key to the table maintained by the transaction processing party.
 2 N105 and N106 further define the type of entity in N101.

Notes: Use this loop to identify the payer. See section 1.3 for the definition of a payer.
 Example: N1*IN**FI*12356799~

Data Element Summary

| Ref. | Data | Attributes |
|-------------|---|------------------|
| <u>Des.</u> | <u>Element Name</u> | |
| M | N101 98 Entity Identifier Code | M ID 2/3 |
| | Code identifying an organizational entity, a physical location, property or an individual | |
| | IN Insurer | |
| | TV Third party administrator | |
| | N102 93 Name | X AN 1/60 |
| | Free-form name | |
| | This element may be used at the sender's discretion. | |

| | | | NAME OF INSURER / TARGET SYSTEM | | | | | |
|---|--|----|--------------------------------------|-----------|----|--|----|--|
| M | N103 | 66 | Identification Code Qualifier | M ID 1/2 | | | | |
| Code designating the system/method of code structure used for Identification Code (67) | | | | | | | | |
| <table border="0"> <tr> <td>FI</td> <td>Federal Taxpayer's Identification Number</td> </tr> <tr> <td>XV</td> <td>CMS National PlanID Required if the National PlanID is mandated for use.</td> </tr> </table> | | | | | FI | Federal Taxpayer's Identification Number | XV | CMS National PlanID Required if the National PlanID is mandated for use. |
| FI | Federal Taxpayer's Identification Number | | | | | | | |
| XV | CMS National PlanID Required if the National PlanID is mandated for use. | | | | | | | |
| M | N104 | 67 | Identification Code | M AN 2/80 | | | | |
| Code identifying a pa code | | | | | | | | |
| TO BE ESTABLISHED BY PEBA AND THE PHARMACY ASO VENDOR | | | | | | | | |

INS Member Level Detail

010

2000 Mandatory

Detail

- Usage:** Mandatory
- Max Use:** 1
- Purpose:** To provide benefit information on insured entities
- Syntax Notes:** 1 If either INS11 or INS12 is present, then the other is required.
- Semantic Notes:**
- 1 INS01 indicates status of the insured. A "Y" value indicates the insured is a subscriber; an "N" value indicates the insured is a dependent.
 - 2 INS10 is the handicapped status indicator. A "Y" value indicates an individual is handicapped; an "N" value indicates an individual is not handicapped.
 - 3 INS12 is the date of death.
 - 4 INS14, INS15, and INS16 identify where the employee works.

Comments:

Notes: Subscriber information must precede dependent information in a transmission, or the subscriber information must have been submitted to the receiver in a previous transmission.

No more than 10,000 INS segments can occur in a single 834 transaction. Multiple transactions within a single interchange can be used to transfer information on larger numbers of members.

Example: INS*Y*18*030**T***RT**N~

Data Element Summary

| Ref. | Data | Attributes |
|---|--|------------|
| <u>Des.</u> | <u>Element Name</u> | |
| M | 1073 Yes/No Condition or Response Code | M ID 1/1 |
| Code indicating a Yes or No condition or response | | |
| ALIAS: Subscriber Indicator | | |

Segment:

Position:

Loop: Level:

N No

Indicates Dependent Record

Y Yes

Indicates Subscriber Record

M INS02 1069 Individual Relationship Code M ID 2/2

Code indicating the relationship between two individuals or entities This value should be 18 for the subscriber.

For dependents, use this value to identify the relationship to the subscriber. For example, a daughter would be value 19. While the list presented in this table is exhaustive, PEBA does not currently utilize all values of this code and reserves the right to add valid codes to its 834 files when necessary.

| | | |
|-----------|----|---|
| | 01 | Spouse |
| 03 | | Father or Mother |
| 04 | | Grandfather or Grandmother |
| 05 | | Grandson or Granddaughter |
| 06 | | Uncle or Aunt |
| 07 | | Nephew or Niece |
| 08 | | Cousin |
| 09 | | Adopted Child |
| 10 | | Foster Child |
| | 11 | Son-in-law or Daughter-in-law |
| | 12 | Brother-in-law or Sister-in-law |
| | 13 | Mother-in-law or Father-in-law |
| | 14 | Brother or Sister |
| | 15 | Ward |
| | 17 | Stepson or Stepdaughter |
| | 18 | Self |
| | 19 | Child Dependent between the ages of 0 and 19; age qualifications may vary depending on policy |
| | 23 | Sponsored Dependent Dependents between the ages of 19 and 25 not attending school; age qualifications may vary depending on policy Dependents between the ages of 19 and 25 not attending school age qualifications may vary depending on policy. |
| | 24 | Dependent of a Minor Dependent A child not legally of age who has been granted adult status |
| | 25 | Ex-spouse |
| | 26 | Guardian |

| | | |
|----|--|---|
| | | An adult who is given legal responsibility for a child by the court |
| 31 | | Court Appointed Guardian |
| 32 | | Mother |
| 33 | | Father |
| 38 | | Collateral Dependent Relative related by blood or marriage who resides in the home and is dependent on the insured for a major portion of their support Relative related by blood or marriage who resides in the home and is dependent on the insured for a major portion of their support. |
| 48 | | Stepfather |
| 49 | | Stepmother |
| 53 | | Life Partner This is a partner that acts like a spouse without a legal marriage commitment. |

| M | INS03 | 875 | Maintenance Type Code | M ID 3/3 |
|----------|--------------|------------|---|---|
| | | | Code identifying the specific type of item maintenance audits versus change only transactions | For further information about full file |

see section 2.6 (Updates versus Full File Audits) of this guide.

| | | |
|-----|-----------------------------|--|
| 001 | Change | Use this code to indicate a change to an existing subscriber/dependent record. |
| 021 | Addition | Use this code to add a subscriber or dependent. |
| 024 | Cancellation or Termination | Use this code for cancellation, termination, or deletion of |

| | | | | |
|----------|---|---|--------------------------------|---|
| | | | 025 | a subscriber or dependent. Reinstatement To place in force again, without the usual probationary or service period, a group contract or an individual's group insurance that for some reason has terminated Use this code for reinstatement of a cancelled subscriber/dependent record. |
| | | | 030 | Audit or Compare Use this code when sending a full roster to verify that the sponsor and payer databases are synchronized. |
| S | INS04 | 1203 | Maintenance Reason Code | O ID 2/3 |
| | Code identifying the reason for the maintenance change | | | Recommended: To be sent unless the trading partner agreement between the sponsor and payer allow this data element to not be sent. While the list presented in this table is exhaustive, PEBA does not currently utilize all values of this code and reserves the right to add valid codes to its 834 files when necessary. |
| 01 | | Divorce | | |
| 02 | | Birth | | |
| 03 | | Death | | |
| 04 | | Retirement | | |
| 05 | | Adoption | | |
| 06 | | Strike | | |
| 07 | | Termination of Benefits | | |
| 08 | | Termination of Employment | | |
| 09 | | Consolidated Omnibus Budget Reconciliation Act (COBRA) | | |
| | A federal act that enables an insured, spouse, or dependent to continue benefits after a qualifying event which would otherwise cause them to lose their benefits | | | |
| 10 | | Consolidated Omnibus Budget Reconciliation Act (COBRA) Premium Paid | | |
| 11 | | Surviving Spouse | | |
| 14 | | Voluntary Withdrawal | | |
| 15 | | Primary Care Provider (PCP) Change | | |
| 16 | | Quit | | |
| 17 | | Fired | | |
| 18 | | Suspended | | |
| 20 | | Active | | |
| 21 | | Disability | | |
| | A physical or mental condition that makes an insured incapable of performing one or more duties of his or her own occupation | | | |
| | | | 22 | Plan Change This is used when a member changes from one Plan to a different Plan. This is not intended to identify changes to a Plan. |
| 25 | | Change in Identifying Data Elements | | A change has been made to the primary elements that |

| | | | | |
|----------|--------------|-------------|--|---|
| | | | | identify a specific employee. Such elements are first name, last name, social security number, date of birth, and employee identification number |
| | | | | Use this code when a change has been made to the primary elements that identify an individual. Such primary elements include the following: first name, last name, Social Security Number, date of birth, and employee identification number. |
| 26 | | | Declined Coverage | |
| | | | | The subscriber declined a previously active coverage. |
| 27 | | | Pre-Enrollment | |
| | | | | This code can be used to enroll newborns prior to receiving the newborn's application. |
| 28 | | | Initial Enrollment | |
| 29 | | | Benefit Selection | |
| | | | | This is used when a member changes benefits within a Plan. |
| 31 | | | Legal Separation | |
| 32 | | | Marriage | |
| 33 | | | Personnel Data | |
| | | | General information about the participant | Use this code for any data change that is not included in any of the other allowed codes. An example would be change in Coordination of Benefits information. |
| 37 | | | Leave of Absence with Benefits | |
| 38 | | | Leave of Absence without Benefits | |
| 39 | | | Lay Off with Benefits | |
| 40 | | | Lay Off without Benefits | |
| 41 | | | Re-enrollment | |
| | | | 43 | Change of Location Use this code to indicate a change of address. |
| | | | AI | No Reason Given |
| | | | XN | Notification Only To be used in complete enrollment transmissions. This is used when INS03 is equal to 030 (Audit/Compare). |
| | | | XT | Transfer This is used when an employee has an organizational change (i.e. a location change within the organization) with no change in benefits or Plan. |
| M | INS05 | 1216 | Benefit Status Code | M ID 1/1 |
| | | | | The type of coverage under which benefits are paid |
| | | | A | Active |
| | | | C | Consolidated Omnibus Budget Reconciliation Act (COBRA) A federal act that enables an insured, spouse, or dependent to continue benefits after a qualifying event which would otherwise cause them to lose their benefits |
| S | | | Surviving Insured | |
| T | | | Tax Equity and Fiscal Responsibility Act (TEFRA) | |

PEBA utilizes this field for the reporting of handicap status.

| | |
|---|-----|
| N | No |
| Y | Yes |

REF

020

Subscriber Number

2000 Mandatory

Detail

- Usage:** Mandatory
Max Use: 1
Purpose: To specify identifying information
Syntax Notes: 1 At least one of REF02 or REF03 is required.
 2 If either C04003 or C04004 is present, then the other is required.
 3 If either C04005 or C04006 is present, then the other is required.
Semantic Notes: 1 REF04 contains data relating to the value cited in REF02.
Comments:

Notes: If the subscriber's/dependent's Social Security Number is known, it should be passed in the NM108 segment (position 2-030).

This segment must contain a unique SUBSCRIBER identification number (SSN or other). This occurrence is identified by the 0F qualifier (REF01). This identifier is used for linking the subscriber with dependents as required under many policies.

The developers recommend using the identifier developed under the HIPAA legislation, when that becomes available.

Example: REF*0F*999999999~

Data Element Summary

| | <u>Ref. Des.</u> | <u>Data Element</u> | <u>Name</u> | <u>Attributes</u> |
|---|------------------|---------------------|---|-------------------|
| M | REF01 | 128 | Reference Identification Qualifier Code qualifying the Reference Identification 0F Subscriber Number | M ID 2/3 |
| M | REF02 | 127 | Reference Identification Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier PEBA utilizes this field for the transmission of social security number | M AN 1/30 |

Segment: Member Policy Number

- Position:**
Loop: 2000 Mandatory
Level: Detail
Usage: Optional
Max Use: 1
Purpose: To specify identifying information
Syntax Notes: 1 At least one of REF02 or REF03 is required.
 2 If either C04003 or C04004 is present, then the other is required.
 3 If either C04005 or C04006 is present, then the other is required.
Semantic Notes: 1 REF04 contains data relating to the value cited in REF02.

Segment: REF

Position: 020
Loop: Level:
Comments:

Notes: This segment should be used if the policy or group number applies to all coverage data (all 2300 loops) that apply for this member.

This segment is required unless the policy number is sent in the REF segment, loop 2300 position 290.

Example: REF*1L*STATESC01~

Data Element Summary

| | <u>Ref. Des.</u> | <u>Data Element Name</u> | <u>Attributes</u> |
|---|------------------|---|-------------------|
| M | REF01 | 128 Reference Identification Qualifier Code qualifying the Reference Identification 1L Group or Policy Number Recommended | M ID 2/3 |
| M | REF02 | 127 Reference Identification Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier TO BE ESTABLISHED BY PEBA AND THE PHARMACY ASO VENDOR | M AN 1/30 |

Member Identification Number

2000 Mandatory

Detail

Usage: Optional
Max Use: 5
Purpose: To specify identifying information
Syntax Notes: 1 At least one of REF02 or REF03 is required.
 2 If either C04003 or C04004 is present, then the other is required.
 3 If either C04005 or C04006 is present, then the other is required.
Semantic Notes: 1 REF04 contains data relating to the value cited in REF02.
Comments:

Notes: This segment is used to pass further identifying information on the member. It should be used if the data is available. See REF01 for data elements that can be passed.

Data Element Summary

| | <u>Ref. Des.</u> | <u>Data Element Name</u> | <u>Attributes</u> |
|---|------------------|--|-------------------|
| M | REF01 | 128 Reference Identification Qualifier Code qualifying the Reference Identification. While the list presented in this table is exhaustive, PEBA does not currently utilize all values of this code and reserves the right to add valid codes to its 834 files when necessary. | M ID 2/3 |

REF

020

| | |
|----|--|
| 17 | Client Reporting Category |
| | Code assigned by the client to categorize participants for reporting requirements This data should only be transmitted when such transmission is required under the insurance contract between the sponsor and payer and allowed by federal and state regulations. This element is NOT USED when the member identified in the related INS segment is not the subscriber. See section 2.7, "Coverage Levels and Dependents", for additional information. |
| 23 | Client Number. PEBA will use this segment and qualifier value to communicate the member's social security number or the member's (if a subscriber) PEBA generated identifier. To be used to pass a payer specific identifier for a member. Not to be used after the HIPAA standard National Identifier for Individuals is implemented. |
| 3H | Case Number |
| 6O | Cross Reference Number |
| DX | Department/Agency Number |
| | Use when members in a coverage group are set up as different departments or divisions under the terms of the insurance policy. |
| F6 | Health Insurance Claim (HIC) Number |
| | A unique number assigned by the government to each person entitled to Medicare benefits Use when reporting Medicare eligibility for a member until the National Identifier is mandated for use. |
| Q4 | Prior Identifier Number |
| | Use to pass the Identifier Number under which the member had previous coverage with the payer. This could be the result of a change in employment or coverage that |

Segment:

Position:

Loop: Level:

resulted in a new ID number being assigned but left the member covered by the same payer.

QQ

Unit Number

Use when members in a coverage group are setup as different units under the terms of the insurance policy.

Units may exist within another grouping such as division or department.

ZZ

Mutually Defined

Use this code to transmit the title of the members employment position.

M

REF02

127

Reference Identification

M AN 1/30

Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier

PEBA uses this field to store the PEBA generated subscriber identification number (REF01='23'). Note that this value pair is only populated on subscriber records and that related dependents are attached through the subscriber's SSN. Please refer to appendix 1 for decodes and other information pertaining to REF02 values.

DTP Member Level Dates

025

2000 Mandatory

Detail

Usage: Optional

Max Use: 20

Purpose: To specify any or all of a date, a time, or a time period

Syntax Notes:

Semantic Notes: 1 DTP02 is the date or time or period format that will appear in DTP03.

Comments:

Notes: Applicable dates, as listed in DTP01, are REQUIRED when enrolling a member or when the sponsor is informed of any change to those dates. Only those dates that apply to the particular insurance contract need to be sent.

While many of the dates listed for DTP01 are related to termination, the only code that is used to actually terminate a Member is 357 (Eligibility End). Similarly, the only date that identifies the start of coverage for an initial enrollment is 356 (Eligibility Begin).

Data Element Summary

| Ref. | Data | | | Attributes |
|-------------|---------------------|-----|---------------------|------------|
| <u>Des.</u> | <u>Element Name</u> | | | |
| M | DTP01 | 374 | Date/Time Qualifier | M ID 3/3 |

Code specifying type of date or time, or both date and time. While the list presented in this table is exhaustive, PEBA does not currently utilize all values of this code and reserves the right to add valid codes to its 834 files when necessary.

| | | | | |
|-----|--|-----|---|--|
| | | 286 | Retirement | |
| | | | Date on which the subscriber became retired | |
| 296 | | | Return to Work | |
| 297 | | | Date Last Worked | |
| 300 | | | Enrollment Signature Date | |
| | | | Date subscriber or dependent signed policy enrollment | |

Segment:

Position:

Loop: Level:

card

301 Consolidated Omnibus Budget Reconciliation Act

(COBRA) Qualifying Event

Date of the qualifying event which initiated COBRA

benefits

303

Maintenance Effective

Date on which the maintenance is effective

336 Employment Begin

Date on which the subscriber or dependent became

employed

337 Employment End

Date on which the subscriber or dependent ceased to be

employed

338 Medicare Begin

Date on which Medicare benefits went into effect

339 Medicare End

Date on which Medicare benefits ceased to be in effect

340 Consolidated Omnibus Budget Reconciliation Act

(COBRA) Begin

Date on which COBRA benefits begin

341

Consolidated Omnibus Budget Reconciliation Act
(COBRA) End

Date on which COBRA benefits end

350

Education Begin

Date on which the subscriber or dependent became a
student

This is the start date for the student at the current
educational institution.

351

Education End

Date on which the subscriber or dependent ceased to be a
student

This is the expected graduation date the student at the
current educational institution.

| | | | | |
|---|-------|------|--|-----------------------------------|
| | | 356 | Eligibility Begin | |
| | | | Date on which eligibility begins | |
| | | | This is used to convey the beginning date when a member could elect to enroll or begin benefits in any health care plan through the employer. This is not the actual begin date, which is conveyed in the DTP segment at position 270. | |
| | | 357 | Eligibility End | |
| | | | Date on which eligibility ends | |
| | | | This code is used as the end of eligibility date (termination reason). | |
| | | 383 | Adjusted Hire | |
| | | | Date of rehire is adjusted to give an employee credit for prior years of service, after a break in service has occurred | |
| | | 393 | Plan Participation Suspension | |
| | | | Date the participant is suspended from the plan | |
| | | 394 | Rehire | |
| | | | Date the participant is rehired, after termination | |
| | | 473 | Medicaid Begin | |
| | | | Date patient became eligible for Medicaid benefits | |
| | | 474 | Medicaid End | |
| | | | Date patient no longer eligible for Medicaid benefits | |
| M | DTP02 | 1250 | Date Time Period Format Qualifier | M ID 2/3 |
| | | | Code indicating the date format, time format, or date and time format | |
| | | | D8 | Date Expressed in Format CCYYMMDD |
| M | DTP03 | 1251 | Date Time Period | M AN 1/35 |
| | | | Expression of a date, a time, or range of dates, times or dates and times | |

NM1 Member Name

030

2100A Mandatory

Detail

Usage: Mandatory

Max Use: 1

Purpose: To supply the full name of an individual or organizational entity

Syntax Notes: 1 If either NM108 or NM109 is present, then the other is required.

2 If NM111 is present, then NM110 is required.

Semantic Notes: 1 NM102 qualifies NM103.

Comments: 1 NM110 and NM111 further define the type of entity in NM101.

Segment:

Position:

Loop: Level:

Notes: This segment is used to identify a member being enrolled or changing benefits or a member correcting identifier information and is transmitted when enrolling a new member, changing a member's demographic information, or terminating a member.
 Example: NM1*IL*1*SMITH*JOHN*M***34*999999999~

Data Element Summary

| | <u>Ref. Des.</u> | <u>Data Element</u> | <u>Name</u> | <u>Attributes</u> |
|---|------------------|---------------------|---|-------------------|
| M | NM101 | 98 | Entity Identifier Code Code identifying an organizational entity, a physical location, property or an individual 74 Corrected Insured Use this code if this transmission is correcting the identifier information on a member already enrolled. Usage of this code requires the sending of an NM1 with code '70' in loop 2100B. IL Insured or Subscriber Use this code for enrolling a new member or updating a member with no change in identifying information. The identifying information for a member is specified under the insurance contract between the sponsor and payer. | M ID 2/3 |
| M | NM102 | 1065 | Entity Type Qualifier Code qualifying the type of entity 1 Person | M ID 1/1 |
| M | NM103 | 1035 | Name Last or Organization Name Individual last name or organizational name | M AN 1/35 |
| M | NM104 | 1036 | Name First Individual first name | M AN 1/25 |
| | NM105 | 1037 | Name Middle Individual middle name or initial Send if supplied by subscriber. | O AN 1/25 |
| | NM106 | 1038 | Name Prefix Prefix to individual name | O AN 1/10 |
| | NM107 | 1039 | Name Suffix Suffix to individual name Send if supplied by subscriber. | O AN 1/10 |
| | NM108 | 66 | Identification Code Qualifier Code designating the system/method of code structure used for Identification Code (67) Send when required by X12 syntax. | O ID 1/2 |

34 Social Security Number
 The social security number may not be used for any Federally administered programs such as Medicare or CHAMPUS.

ZZ Recommended Mutually Defined
 Value is required if National Individual Identifier is mandated for use. Otherwise, one of the other listed codes may be used.

NM109 67 Identification Code O AN 2/80

Code identifying a party or other code
 Until the HIPAA Individual Identifier is available the SSN is to be sent when available and allowed under confidentiality regulations. PEBA is currently populating this element with the member's social security number.

NOT USED NM110

Segment:

Position:
Loop: Level:

N3 Member Residence Street Address

050
 2100A Mandatory
 Detail

Usage: Optional
Max Use: 1
Purpose: To specify the location of the named party
Syntax Notes:

Semantic Notes:

Comments:

Notes: REQUIRED when enrolling subscriber, when enrolling a dependent and the dependent's address is different from the subscriber and when changing a member's address.
 Example: N3*50 ORCHARD STREET~

Data Element Summary

| | <u>Ref.</u> | <u>Data</u> | | <u>Attributes</u> |
|---|-------------|--|--|-------------------|
| | <u>Des.</u> | <u>Element</u> <u>Name</u> | | |
| M | N301 | 166 Address Information Address information | | M AN 1/55 |
| | N302 | 166 Address Information Address information | | O AN 1/55 |

Segment:

Position:
Loop:
Level:

N4 Member Residence City, State, ZIP Code

060

2100A Mandatory
 Detail

Usage: Optional
Max Use: 1
Purpose: To specify the geographic place of the named party
Syntax Notes: 1 If N406 is present, then N405 is required.
Semantic Notes:

Comments: 1 A combination of either N401 through N404, or N405 and N406 may be adequate to specify a location.

2 N402 is required only if city name (N401) is in the U.S. or Canada.

Notes: REQUIRED when enrolling subscriber, when enrolling a dependent and the dependent's address is different from the subscriber and when changing a member's address.
 Example: N4*ROCK HILL*FL*33131~

Data Element Summary

| Ref. | Data | | | Attributes |
|------|---------|------|---|------------|
| Des. | Element | Name | | |
| M | N401 | 19 | City Name | M AN 2/30 |
| | | | Free-form text for city name | |
| M | N402 | 156 | State or Province Code | M ID 2/2 |
| | | | Code (Standard State/Province) as defined by appropriate government agency | |
| M | N403 | 116 | Postal Code | M ID 3/15 |
| | | | Code defining international postal zone code excluding punctuation and blanks (zip code for United States) | |
| | N404 | 26 | Country Code | O ID 2/3 |
| | | | Code identifying the country | |
| | | | Required only if country is not USA. | |
| | N405 | 309 | Location Qualifier | X ID 1/2 |
| | | | Code identifying type of location | |
| | | | Send when required by X12 syntax. | |
| | | 60 | Area | |
| | | | The area code indicates that N406 will contain an out-of-area indicator for this member. The meaning of that indicator is defined in the trading partner agreement. | |
| | | CY | County/Parish | |
| | N406 | 310 | Location Identifier | O AN 1/30 |

Segment:

Position:

Loop: Level:

Code which identifies a specific location

This data should only be transmitted when such transmission is required under the insurance contract between the sponsor and payer and allowed by federal and state regulations. This element is NOT USED when the member identified in the related INS segment is not the subscriber. See section 2.7, "Coverage Levels and Dependents", for additional information.

DMG Member Demographics

080

2100A Mandatory

Detail

Usage: Optional

Max Use: 1

Purpose: To supply demographic information

Syntax Notes: 1 If either DMG01 or DMG02 is present, then the other is required.

Semantic Notes: 1 DMG02 is the date of birth.

2 DMG07 is the country of citizenship.

3 DMG09 is the age in years.

Comments:

Notes: REQUIRED when enrolling a new member or when changing a member's demographic information.

This segment is REQUIRED for dependent changes records until the National Individual Identifier is mandated.

Example: DMG*D8*19450915*F*M~

Data Element Summary

| Ref. | Data | | | |
|-------------|----------------|-------------|---|-------------------|
| <u>Des.</u> | <u>Element</u> | <u>Name</u> | | <u>Attributes</u> |
| M | DMG01 | 1250 | Date Time Period Format Qualifier | M ID 2/3 |
| | | | Code indicating the date format, time format, or date and time format | |
| | | D8 | Date Expressed in Format CCYYMMDD | |
| M | DMG02 | 1251 | Date Time Period | M AN 1/35 |
| | | | Expression of a date, a time, or range of dates, times or dates and times | |
| M | DMG03 | 1068 | Gender Code | M ID 1/1 |
| | | | Code indicating the sex of the individual | |
| | | F | Female | |
| | | M | Male | |
| | | U | Unknown | |

This code is to be used when the gender is unknown or when it cannot be report for any other reason. Unknown should only be used when there is no way of obtaining the gender of the member. This may cause problems in some systems and should be avoided.

DMG04 1067 Marital Status Code O ID 1/1

Code defining the marital status of a person

This data should only be transmitted when such transmission is required under the insurance contract between the sponsor and payer and allowed by federal and state regulations. This element is NOT USED when the member identified in the related INS segment is not the subscriber. See section 2.7, "Coverage Levels and Dependents", for additional information.

- B Registered Domestic Partner
- D Divorced
- I Single
- M Married
- R Unreported
- S Separated
- U Unmarried (Single or Divorced or Widowed)

This code should be used if the previous status is unknown.

- W Widowed
- X Legally Separated

Segment: **NM1** Member Mailing Address

Position: 030
Loop: 2100C
Level: Detail
Usage: Situational
Max Use: 1
Purpose: To supply the full name of an individual or organizational entity
Syntax Notes: 1 P0809 if either NM108 or NM109 is present, then the other is required.
 2 C1110 if NM111 is present, then NM110 is required.

Notes: This loop is to be sent if the member has a mailing address different from the residence address sent in loop 2100A or sent when the enrolled member has an address that is different from the enrolled subscriber. Please note the PEBA will provide this loop for all subscriber records.

Example: NM1*31*1~

Data Element Summary

| | Ref. Des. | Data Element Name | Attributes |
|---|------------------|--|-------------------|
| M | NM101 | 98 Entity Identifier Code Code identifying an organizational entity, a physical location, property or an individual 31 Postal mailing address | M ID 2/3 |
| M | NM102 | 1065 Entity Type Qualifier Code qualifying the type of entity 1 Person | M ID 1/1 |

Segment: **N3** Member Mail Street Address

Position: 050
Loop: 2100C
Level: Detail
Usage: Situational
Max Use: 1
Purpose: To specify the location of the named party
Syntax Notes:

Semantic Notes:

Comments:

Notes: Send when needed for address in loop 2100C. Please note that PEBA will provide this loop for all subscribers.

Example: N3*50 ORCHARD STREET~

Data Element Summary

| | Ref. Des. | Data Element Name | Attributes |
|---|------------------|---|-------------------|
| M | N301 | 166 Address Information Address information | M AN 1/55 |
| | N302 | 166 Address Information Address information | O AN 1/55 |

Segment: **N4** Member Mail City, State, ZIP Code

Position: 060
Loop: 2100C
Level: Detail
Usage: Situational
Max Use: 1

Purpose: To specify the geographic place of the named party
Syntax Notes: 1 C0606 - If N406 is present, then N405 is required.
Semantic Notes:

Notes: Send when needed to for address in loop 2100C. Please note that PEBA will provide this loop for all subscribers.
 Example: N4*ROCK HILL*FL*33131~

Data Element Summary

| Ref. | Data | Element Name | Attributes |
|------|------------|--|------------|
| M | 19 | City Name Free-form text for city name | M AN 2/30 |
| M | 156 | State or Province Code Code (Standard State/Province) as defined by appropriate government agency | M ID 2/2 |
| M | 116 | Postal Code Code defining international postal zone code excluding punctuation and blanks (zip code for United States) | M ID 3/15 |
| | 26 | Country Code Code identifying the country Required only if country is not USA. | O ID 2/3 |
| | 309 | Location Qualifier Code identifying type of location Send when required by X12 syntax. | X ID 1/2 |
| | 60 | Area The area code indicates that N406 will contain an out-of-area indicator for this member. The meaning of that indicator is defined in the trading partner agreement. | |
| | CY | County/Parish | |
| N406 | 310 | Location Identifier Code which identifies a specific location This data should only be transmitted when such transmission is required under the insurance contract between the sponsor and payer and allowed by federal and state regulations. This element is NOT USED when the member identified in the related INS segment is not the subscriber. See section 2.7, "Coverage Levels and Dependents", for additional information. | O AN 1/30 |

Segment: **HD** Health Coverage

Position: 260
Loop: 2300 Optional
Level: Detail
Usage: Optional
Max Use: 1
Purpose: To provide information on health coverage
Syntax Notes:

- Semantic Notes:**
- 1 HD06 is the number of collateral dependents for the primary insured. A collateral dependent is a relative related by blood or marriage who resides in the home and is dependent on the employee for support.
 - 2 HD07 is the number of sponsored dependents for the primary insured. A sponsored dependent is a dependent between the ages of 19 and 25 who is not in school.
 - 3 HD09 is a late enrollee indicator. A "Y" value indicates the insured is a late enrollee, which can result in a reduction of benefits; an "N" value indicates the insured is a regular enrollee.
 - 4 HD11 is a prescription drug service coverage indicator. A "Y" value indicates that prescription drug service coverage applies; an "N" value indicates that prescription drug service coverage does not apply.

Comments:

Notes: Send this segment is REQUIRED when enrolling a new member or when adding, updating or removing coverage from an existing member. Example:
 HD*021**HLT*PLAN A BCD*FAM~

Data Element Summary

| Ref. | Data | | |
|-------------|----------------|--|-------------------|
| <u>Des.</u> | <u>Element</u> | <u>Name</u> | <u>Attributes</u> |
| M | HD01 | 875 Maintenance Type Code | M ID 3/3 |
| | | Code identifying the specific type of item maintenance. While the list presented in this table is exhaustive, PEBA does not currently utilize all values of this code and reserves the right to add valid codes to its 834 files when necessary. | |
| | | 001 Change | |
| | | 002 Delete | |
| | | Use this code for deleting an incorrect coverage record. | |
| | | 021 Addition | |
| | | 024 Cancellation or Termination | |
| | | Use this code for cancelling/terminating a coverage. | |
| | | 025 Reinstatement | |
| | | To place in force again, without the usual probationary or service period, a group contract or an individual's group insurance that for some reason has terminated | |
| | | 026 Correction | |
| | | This code is used to correct an incorrect record. | |
| | | 030 Audit or Compare | |

032 Employee Information Not Applicable

Certain situations such as military duty and CHAMPUS classify the subscriber ineligible for coverage or benefits. However, dependents of the subscriber are still eligible for coverage or benefits under the subscriber. Subscriber identifying elements are needed to accurately identify dependents

Certain situations, such as military duty and CHAMPUS, classify the subscriber as ineligible for coverage or benefits. However, dependents of the subscribers are still eligible for coverage or benefits under the subscriber. Subscriber identifying elements are needed to accurately identify dependents.

M HD03 1205 Insurance Line Code M ID 2/3

Code identifying a group of insurance products. While the list presented in this table is exhaustive, PEBA does not currently utilize all values of this code and reserves the right to add valid codes to its 834 files when necessary.

AG Preventative Care/Wellness

AH 24 Hour Care

AJ Medicare Risk

AK Mental Health

DCP Dental Capitation

This identifies a Dental managed care organization (DMO).

DEN Dental – Value supplied on PEBA Dental file.

EPO Exclusive Provider Organization

HE Hearing

HLT Health

HMO Health Maintenance Organization An organization that provides a wide range of

comprehensive health care services for a specified group at a fixed periodic payment

LTC Long-Term Care

A plan that provides a specified dollar benefit or more commonly a percent of expenses charged if a covered person suffers a loss of functional capacity due to an accidental injury or sickness

LTD Long-Term Disability

A plan that provides a source of monthly income for covered employees who are unable to work because of total disability; benefits are payable for a period of 5 to 10 years, or more commonly to the employee's normal age of retirement

MM Major Medical

MOD Mail Order Drug

PDG Prescription Drug

POS Point of Service

PPO Preferred Provider Organization

STD Short-Term Disability

UR Utilization Review
 A plan that provides a source of income for covered employees who are unable to work because of disability; benefits are payable for a period of 13, 26, or 52 weeks

VIS Dental
 A committee of professionals in the medical field who review cases involving extended duration of hospitalization and patterns of care in order to establish guidelines in terms of actual medical necessity; their review will also include the efficiency of institutional use, the appropriateness of admission, services ordered and provided, length of stay, and discharge practices

HD04 1204 Plan Coverage Description O AN 1/50

A description or number that identifies the plan or coverage information is needed by the insurer to Use this element when additional information is needed by the insurer to

describe the exact type of coverage being provided. If required by an insurer, this information must be included. The insurer establishes the content of this element in the contract.

HD05 1207 Coverage Level Code O ID 3/3

Code indicating the level of coverage being provided for this insured transmitted when such transmission is required under This data should only be

the insurance contract between the sponsor and payer and allowed by federal and state regulations. This element is NOT USED when the member identified in the related INS segment is not the subscriber. See section 2.7, "Coverage Levels and Dependents", for additional information. While the list presented in this table is exhaustive, PEBA does not currently utilize all values of this code and reserves the right to add valid codes to its 834 files when necessary.

- CHD Children Only
- DEP Dependents Only
- E1D Employee and One Dependent
 For this code, the dependent is a non-spouse dependent. This code is not used for identification of Employee and Spouse. See code ESP.
- E2D Employee and Two Dependents
- E3D Employee and Three Dependents
- E5D Employee and One or More Dependents
- E6D Employee and Two or More Dependents
- E7D Employee and Three or More Dependents
- E8D Employee and Four or More Dependents
- E9D Employee and Five or More Dependents
- ECH Employee and Children
- EMP Employee Only
- ESP Employee and Spouse
- FAM Family
- IND Individual
- SPC Spouse and Children
- SPO Spouse Only
- TWO Two Party

Segment:

Position:

Loop: Level:

DTP Health Coverage Dates

270

2300 Optional

Detail

Usage: Optional (Required By PEBA)

Max Use: 10

Purpose: To specify any or all of a date, a time, or a time period

Syntax Notes:

Semantic Notes: 1 DTP02 is the date or time or period format that will appear in DTP03.

Comments:

Notes: This segment contains the date that maintenance was performed or effective, and the benefit begin and end dates for the coverage or line of business. PEBA will communicate...

Example: DTP*348*D8*19961001~

Data Element Summary

| Ref. | Data Element | Name | Attributes |
|------|--------------|---|------------|
| M | DTP01 | 374 Date/Time Qualifier | M ID 3/3 |
| | | Code specifying type of date or time, or both date and time | |
| | | 303 Maintenance Effective | |
| | | Date on which the maintenance is effective | |
| | | This is the effective date of a change where a member's coverage is not being added or removed. | |
| | | 348 Benefit Begin | |
| | | Date on which the subscriber's or dependent's benefit begin | |
| | | This is the effective date of coverage. This code should always be sent when adding coverage. | |
| | | 349 Benefit End | |
| | | Date on which the subscriber's or dependent's benefit ends | |

Segment:

Position:

Loop: Level:

This is the date the coverage specified in the 2300 loop is being terminated. Termination of specified coverage is identified by HD01 code 024 - Cancellation or Termination. This code should always be sent when removing coverage from a member. This code should not be used when a member is terminating all eligible coverage.

543 Last Premium Paid Date

M DTP02 1250 **Date Time Period Format Qualifier** M ID 2/3
 Code indicating the date format, time format, or date and time format

D8 Date Expressed in Format CCYYMMDD

M DTP03 1251 **Date Time Period** M AN 1/35
 Expression of a date, a time, or range of dates, times or dates and times

COB Coordination of Benefits

400
 2320 Optional
 Detail

Usage: Optional

Max Use: 1

Purpose: To supply information on coordination of benefits

Syntax Notes:

Semantic Notes: 1 COB02 is the policy number.

Comments:

Notes: Use this loop whenever an individual has another insurance plan with benefits similar to those covered by the insurance product specified in the HD segment for this occurrence of Loop ID-2300. Always provide this information when provided to the sponsor. Provide the COB information by individual, not by subscriber.

Send this data when such transmission is required under the insurance contract between the sponsor and the payer.

Data Element Summary

| <u>Ref.</u> | <u>Data</u> | <u>Attributes</u> |
|-------------|---|-------------------|
| <u>Des.</u> | <u>Element</u> <u>Name</u> | |
| COB01 | 1138 Payer Responsibility Sequence Number Code | O ID 1/1 |
| | Code identifying the insurance carrier's level of responsibility for a payment of a claim | |

Segment:

Position:

Loop: Level:

| | |
|---|-----------|
| P | Primary |
| S | Secondary |
| T | Tertiary |
| U | Unknown |

COB02 127 Reference Identification O AN 1/30
 Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier
 Always supply the policy number when it is available.

COB03 1143 Coordination of Benefits Code O ID 1/1
 Code identifying whether there is a coordination of benefits

| | |
|---|--|
| 1 | Coordination of Benefits |
| | A method of integrating benefits payable under more than one group health insurance plan so that the insured's benefits from all sources do not exceed 100 percent of the allowable medical expenses |
| 5 | Unknown |
| 6 | No Coordination of Benefits |
| | Use this code to verify that it was determined that there is no COB. |

N1 Other Insurance Company Name

410

2320 Optional

Detail

Usage: Optional

Max Use: 1

Purpose: To identify a party by type of organization, name, and code

- Syntax Notes:**
- 1 At least one of N102 or N103 is required.
 - 2 If either N103 or N104 is present, then the other is required.

Semantic Notes:

Segment:

Position:

Loop: Level:

- Comments:**
- 1 This segment, used alone, provides the most efficient method of providing organizational identification. To obtain this efficiency the "ID Code" (N104) must provide a key to the table maintained by the transaction processing party.
 - 2 N105 and N106 further define the type of entity in N101.

Notes: Use this segment to send the name of the insurance company when provided to the sponsor.

Data Element Summary

| | Ref. | Data | Attributes |
|---|-------------|---|-------------------|
| | Des. | Element Name | |
| M | N101 | 98 Entity Identifier Code Code identifying an organizational entity, a physical location, property or an individual IN Insurer | M ID 2/3 |
| S | N102 | 93 Name Free-form name Send the insurance company name if no standard identifier is available to pass in N104. | X AN 1/60 |
| S | N103 | 66 Identification Code Qualifier Code designating the system/method of code structure used for Identification Code (67) Send when required by X12 syntax. FI Federal Taxpayer's Identification Number NI National Association of Insurance Commissioners (NAIC) Identification XV Health Care Financing Administration National Payer Identification Number (PAYERID) Required if the National Payer ID is mandated for use. Otherwise, one of the other listed codes may be used. | X ID 1/2 |
| S | N104 | 67 Identification Code Code identifying a party or other code Use the National Payer ID until that ID is available the Federal Tax ID should be used. Send when supplied by the employee to the sponsor. | X AN 2/80 |

Segment:

Position:

Loop: Level:

DTP Coordination of Benefits Eligibility Dates

450

2320 Optional

Detail

Usage: Optional

Max Use: 2

Purpose: To specify any or all of a date, a time, or a time period

Syntax Notes:

Semantic Notes: 1 DTP02 is the date or time or period format that will appear in DTP03.

Comments:

Notes: This segment contains the dates for which coordination of benefits is in effect. Send the eligibility date when provided to the sponsor.

Data Element Summary

| Ref. | Data | Attributes |
|-------------|---|-------------------|
| Des. | Element Name | |
| M | DTP01 374 Date/Time Qualifier | M ID 3/3 |
| | Code specifying type of date or time, or both date and time | |
| | 344 Coordination of Benefits Begin | |
| | Date on which Coordination of Benefits begin | |
| | 345 Coordination of Benefits End | |
| | Date on which Coordination of Benefits end | |
| M | DTP02 1250 Date Time Period Format Qualifier | M ID 2/3 |
| | Code indicating the date format, time format, or date and time format | |
| | D8 Date Expressed in Format CCYYMMDD | |
| M | DTP03 1251 Date Time Period | M AN 1/35 |
| | Expression of a date, a time, or range of dates, times or dates and times | |

GE Functional Group Trailer

688

Segment:

Position:

Loop: Level:

Detail

Usage: Optional

Max Use: 1

Purpose: To indicate the end of a functional group and to provide control information

Syntax Notes:

Semantic Notes: 1 The data interchange control number GE02 in this trailer must be identical to the same data element in the associated functional group header, GS06.

Comments: 1 The use of identical data interchange control numbers in the associated functional group header and trailer is designed to maximize functional group integrity. The control number is the same as that used in the corresponding header.

Notes: GE*1*1~

Data Element Summary

| Ref. | Data | Attributes |
|--------------------|--|-------------------|
| <u>Des.</u> | <u>Element Name</u> | |
| M | 97 Number of Transaction Sets Included | M N0 1/6 |
| | Total number of transaction sets included in the functional group or interchange (transmission) group terminated by the trailer containing this data element | |
| M | 28 Group Control Number | M N0 1/9 |
| | Assigned number originated and maintained by the sender | |

Segment:

Position:

Loop: Level:

IEA Interchange Control Trailer

689

Detail

Usage: Optional

Max Use: 1

Purpose: To define the end of an interchange of zero or more functional groups and interchange related control segments

Syntax Notes:

Semantic Notes:

Comments:

Notes: IEA*1*000000905~

Data Element Summary

| | Ref. | Data | | Attributes |
|---|--------------------|----------------------------|--|-------------------|
| | <u>Des.</u> | <u>Element Name</u> | | |
| M | IEA01 | I16 | Number of Included Functional Groups A count of the number of functional groups included in an interchange | M N0 1/5 |
| M | IEA02 | I12 | Interchange Control Number A control number assigned by the interchange sender | M N0 9/9 |

Segment:

Position:

Loop: Level:

SE Transaction Set Trailer

690

Detail

Usage: Mandatory

Max Use: 1

Purpose: To indicate the end of the transaction set and provide the count of the transmitted segments (including the beginning (ST) and ending (SE) segments)

Syntax Notes:

Semantic Notes:

Comments: 1 SE is the last segment of each transaction set.

Notes: Example: SE*39*0001~

Data Element Summary

| | Ref. | Data | Attributes |
|---|--------------------|--|-------------------|
| | <u>Des.</u> | <u>Element Name</u> | |
| M | SE01 | 96 Number of Included Segments | M N0 1/10 |
| | | Total number of segments included in a transaction set including ST and SE segments | |
| M | SE02 | 329 Transaction Set Control Number | M AN 4/9 |
| | | Identifying control number that must be unique within the transaction set functional group assigned by the originator for a transaction set | |
| | | The transaction set control numbers in ST02 and SE02 must be identical. This unique number also aids in error resolution research. For example, start with the number 0001 and increment from there. This number must be unique within a specific group and interchange, but the number can repeat in other groups and interchanges. | |

Appendix 1 - REF Segment Lookups and Decodes

State Group Numbers

| | |
|---|-----------------------------|
| A01 – Z99 | Stage agencies |
| G01 – G99, T01 – T99, Y080000 – Y990000 | Other entities |
| 5000000 – 5990000 | School Districts |
| 7000000 – 7999999 | Local Subdivisions (LSD) |
| 1000000 | *R,C,S from State agency |
| 2000000 | *R,C,S from School District |
| 4000000 | *R,C,S from LSD |

Subscriber Types

| | |
|-----|---------------------------|
| AR | Active subscriber |
| VH | Variable Hour subscriber |
| NP | Non-Permanent FT sub |
| FS | Former Spouse |
| RR | Retired subscriber |
| RBI | Buy-in Retiree |
| R05 | 5 – 10 year retiree |
| R25 | 25 year retiree |
| R15 | 15-25 Partially funded |
| SRR | Survivor |
| RPF | Partially funded survivor |
| SRF | Funded Survivor |
| C18 | Cobra 18 month |
| C29 | Cobra waiting Disability |
| C36 | Cobra 36 month |
| F18 | FS Cobra 18 months |
| F29 | FS Cobra 29 months |
| F36 | FS Cobra 26 months |

Plan Type

| | |
|----|---------------------|
| BB | State Health Plan |
| BC | Medicare Supplement |
| BD | Savings Plan |
| BP | MUSC |

Plan Categories

| | |
|---|---------------------------|
| 1 | Subscriber only |
| 2 | Subscriber and Spouse |
| 3 | Subscriber and Child(ren) |
| 4 | Full Family |
| 5 | Child only |

*R,C,S = Retirees, Cobras and Survivors